## 512 Rec'd PCT/PTO 1 2 OCT 2009

**FORM PTO-1390** US DEPARTMENT OF COMMERCE ATTORNEYS DOCKET NUMBER REV. 5-93 PATENT AND TRADEMARK OFFICE P01.0212 TRANSMITTAL LETTER TO THE UNITED STATES . DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S.APPLICATION NO. (if known, see 37 CFR 1.5) CONCERNING A FILING UNDER 35 U.S.C. 371 09/868,364 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/DE99/04125 29 December 1999 29 December 1998 TITLE OF INVENTION "Light Source Element with Lateral, Oblique Light Infeed" APPLICANT(S) FOR DO/EO/US Bernhard BACHL et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 3. .⊓ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. 🗆 A copy of International Application as filed (35 U.S.C. 371(c)(2)) 5. □ a. 

is transmitted herewith (required only if not transmitted by the International Bureau). b. 

has been transmitted by the International Bureau. c. 

is not required, as the application was filed in the United States Receiving Office (RO/US) A translation of the International Application into English (35 U.S.C. 371(c)(2). 6. ₽ 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) a. 

are transmitted herewith (required only if not transmitted by the International Bureau). b. 

have been transmitted by the International Bureau. c. 

have not been made; however, the time limit for making such amendments has NOT expired. 🔨 d. 🗆 have not been made and will not be made. 8. 🗆 . A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Executed 10. 🗆 A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). It ms 11. to 16. below concern other document(s) or information included: A Supplemental Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report). 11. 🗆 12. **¹**■ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. SEE ATTACHED ENVELOPE 13. 🗀 A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 14°. 🗆 A substitute specification. 15. 🖒 A change of power of attorney and/or address letter. 16. Other items or information: One month Extension Fee \$110.00 attached b. ⊠ EXPRESS MAIL #EL843742727 US dated October 12, 2001

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO  Metherational preliminary examination for each to USPTO (37 C.F.R. 1.482)	U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO. PCT/DE99/04125		ATTORNEY'S DOCKET NUMBER P01,0212	
No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.485(a)(2))	BASIC NATIONA Search Report has b	AL FEE (37 C.F.R. 1,492	(a)(1)-(5):		, 01,0212	
Neither international search fee paid to USPTO (37 C.F.R. 1.445(a)(Z)	International prelimin	pary examination fee paid to US				
International preliminary examination for authority examination for authority of the satisfied provisions of PCT Article 33(2)-(4) in USPTO (37 C.F.R. 1.482) and all dealms satisfied provisions of PCT Article 33(2)-(4) in USPTO (37 C.F.R. 1.482) and all dealms satisfied provisions of PCT Article 33(2)-(4) in USPTO (37 C.F.R. 1.482) and all dealms satisfied provisions of PCT Article 33(2)-(4) in USPTO (37 C.F.R. 1.482) and all dealms satisfied provisions of PCT Article 33(2)-(4) in USPTO (37 C.F.R. 1.492(e)).  Claims   Number Filed   Number   Rate   Extra   Rate   Extra   Extr	No international preli international search f	minary examination fee paid to fee paid to USPTO (37 C.F.R. 1	USPTO (37 C.F.R. .445(a)(2)	1.482) but \$740.00		
ENTER APPROPRIATE BASIC FEE AMOUNT = \$130.00  Surcharge of \$130.00 for furnishing the eath or declaration later than    20    30 months from the earliest claimed priority date (37 C.F.R. 1,492(e)).  Claims   Number Filed   Number   Rate   Extra	fee (37 C.F.R. 1.445)	(a)(2) paid to USPTO	PTO (37 C.F.R. 1.4	\$1040.00		
Surcharge of \$13.0.00 for furnishing the coath or declaration later than □ 20 □ 30 months from the earliest claimed priority date (37 °C F.R. 1.492(e)).  Claims    Number   Rate   Extra	satisfied provisions o	of PCT Article 33(2)-(4)	• • • • • • • • • • • • • • • • • • • •	\$ 100.00		T
Claims Number Filed Rate Extra  Total Claims -20 = 0					\$130.00	
Total Claims  -20 = 0	Surcharge of \$130.00 for furnisl earliest claimed priority date (37	hing the oath or declaration late 7 C.F.R. 1.492(e)).	r than 🗆 20 🗀 3	0 months from the	\$ 0	
Independent Claims  -3 = X\$84.00 \$0  Multiple Dependent Claims  -3 = X\$84.00 \$0  SuBTOTAL \$130.00  -4 \$130.00  -5 = Substituting Substitutin	Claims	Number Filed		Rate		
M: Iltiple Dependent Claims \$280.00 + \$  TOTAL OF ABOVE CALCULATIONS = \$0  Réduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be \$  Réduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be \$  SUBTOTAL = \$130.00  Processing fee of \$130.00 for furnishing the English translation later than \$\Begin{array}{c} 20 \Big 30 \text{ months from the earliest claimed priority date (37 CFR. 1.92(f)).} \\  TOTAL NATIONAL FEE = \$0  Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 \text{ per property } + \\  TOTAL FEES ENCLOSED = \$130.00  Amount to be refunded charged \$  a.   A check in the amount of \$130.00 to cover the above fees is enclosed.  Please charge my Deposit Account No. in the amount of \$\square\$ to cover the above fees. A duplicate copy of this sheet is enclosed.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayme to Deposit Account No. \$501519. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be littled and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  SIGNATURE  SIGNATURE  Patent D partment  Brett A. Valiquet  NAME  Chicago, Illinois 60606  CUSTOMER NO. 26574	Total Claims	- 20 =	0	X \$ 18.00	\$0	
TOTAL OF ABOVE CALCULATIONS = \$ 0  Réduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be \$  SUBTOTAL = \$ 130.00  Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$ 0  Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property + \$  TOTAL FEES ENCLOSED = \$130.00  Amount to be refunded charged \$  a. ■ A check in the amount of \$ 130.00 to cover the above fees is enclosed.  Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.  C. ■ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayme to Deposit Account No. 501519. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.493 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be shelled and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Schiff Hardin & Waite Patent D partment  Brett A. Valiquet  NAME  Chicago, Illinois 60806  CUSTOMER NO. 26574	Independent Claims	- 3 =	<u>.                                    </u>	X \$ 84.00	\$0	
Réduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be \$  SUBTOTAL = \$ 130.00  Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$ 0  Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property	Maultiple Dependent Claims \$280.00 +				\$	
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## **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" Mailing Label Number EL843742727 US

Date of Deposit: October 12, 2001

I hereby certify that this CORRESPONDENCE IS BEING DEPOSITED WITH THE United States Postal "Express Mail Post Office To Addressee" SERVICE UNDER 37 CFR 1.10(c) on the date indicated above and is addressed to:

Hon. Assistant Commissioner for Patents Washington, D. C. 20231

Case Number: P01,0212

International Application No.

PCT/DE99/04125

**International Filing Date** 

29 December 1999

Priority Date Claimed 29 December 1998

Title: "LIGHT SOURCE ELEMENT WITH LATERAL, OBLIQUE LIGHT IN FEED"

Applicants: Bernhard BACHL et al

Enclosed are the following documents:

PTO 1390 in duplicate;

**Executed Declarations** 

Executed Assignments Fee \$40.00 (5)

Fee \$130.00

Form PCT/DO/EO/907

Extension of Time fee \$110.00

Postcard.

Signature of Person Mailing Documents and Fee

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